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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/940,324 /	08/27/2001	Robert T. George	2207/12003

CONFIRMATION NO. 5090

## FORMALITIES LETTER



\*OC000000006806880\*

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Date Mailed: 09/28/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/03/2002 BNGUYEN1 00000101 110600 09940324

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## U.S. Department of Commerce

## Patent and Trademark Office

Commissioner for Patents, Washington, DC 20231

Attorney Docket No.

2207/12003

Application  
Number

09/940,324

Filing Date

August 27, 2001

Examiner

Not Assigned

Group Art Unit

TBD

Application Title: **METHOD AND APPARATUS FOR THE  
UTILIZATION OF DISTRIBUTED CACHES**

Inventor(s)

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Commissioner for Patents, Box Missing Parts, Washington, DC 20231 on

Date of Deposit: November 26, 2001Signature: *I. Marie Kotsubo*

I. Marie Kotsubo

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION -  
FILING DATE GRANTED and CORRECT FILING RECEIPT**

To complete the filing requirements for the above-referenced application under 37 CFR §1.51, enclosed please find the following: 1) Executed Declaration and Power of Attorney (3 signature pages); 2) copy of the Notice to File Missing Parts of Application, mailed on September 28, 2001; and Postcard for date-stamped return as confirmation of receipt of these items.

The Commissioner is hereby authorized to charge Deposit Account **11-0600** for the surcharge fee of **\$130.00** per 37 CFR §1.16(e). The Commissioner is also authorized to charge any additional fees required or credit any overpayment in connection with this correspondence to Deposit Account **11-0600**.

A duplicate copy of this sheet is attached.

Date: November 26, 2001By: *Shawn W. O'Dowd*

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